



GP2776

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUN 13 2000

GROUP 2700

Applicant(s): **Smith (et al.)**

Serial No.: **09/405,608**

Filed: **9/24/1999**

Attorney Docket: **0010-011**

Examiner: **Unknown**

Group Art Unit: **2771**

Title: **System And Method For Managing Connections Between A Client And A Server**

Assistant Commissioner for Patents

Washington, DC 20231

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Enclosed for filing in the above-referenced application are the following:

1. Information Disclosure Statement (2 pages);
2. Form PTO-1449 (1 page);
3. 4 cited references; and
4. Return receipt postcard.

Date: 6/7/00

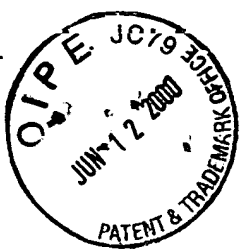
Larry E. Henneman, Jr.
Larry E. Henneman, Jr., Reg. No. 41,063
Henneman & Saunders
121 E. 11th Street
Tracy, CA 95376

CERTIFICATE OF MAILING (37 CFR 1.8(A))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: 6/7/00

Larry E. Henneman, Jr.
Larry E. Henneman, Jr.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUN 13 2000

GROUP 2700

Applicant(s): **Smith (et al.)**

Serial No.: **09/405,608**

Filed: **9/24/1999**

Attorney Docket: **0010-011**

Examiner: **Unknown**

Group Art Unit: **2771**

Title: **System And Method For Managing Connections Between A Client And A Server**

Assistant Commissioner for Patents

Washington, DC 20231

K. Ward
6/15/00
#51
Print
Watch

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56, §1.97, and §1.98, Applicant(s) bring(s) the following documents to the Examiner's attention in the above-referenced application:

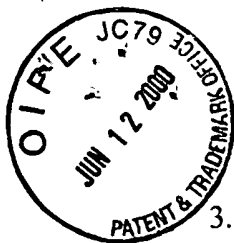
1. U.S. Patent No. 5,642,482, issued 06/24/1997, to Pardillos;
2. U.S. Patent No. 5,754,774, issued 05/19/1998, to Bittinger et al.;
3. U.S. Patent No. 5,872,919, issued 02/16/1999, to Wakeland; and
4. Luotonen, Web Proxy Servers, Prentice Hall PTR (1998), pp. 17-28, 325-343.

A PTO form 1449 listing these documents is enclosed.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or



3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

RECEIVED
JUN 13 2000
GROUP 2700

Respectfully Submitted,

Date: 6/7/00

Larry E. Henneman, Jr.
Larry E. Henneman, Jr., Reg. No. 41,063
Henneman & Saunders
121 E. 11th Street
Tracy, CA 95376

CERTIFICATE OF MAILING (37 CFR 1.8(A))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: 6/7/00

Larry E. Henneman, Jr.
Larry E. Henneman, Jr.